

ACFE Vancouver Chapter ½ Day Training Event

Several themes come to mind when we think about the 21st Century Anti-fraud professional including innovation, collaboration, efficiency, communication and continuous improvement. The ACFE Vancouver Chapter is pleased to provide the following ½ day training and event in Vancouver.

Date: May 23, 2018

**Time: 8:30 am to 9:00 am Registration
9:00 am to 12:30 pm Presentations (3 Hours)**

**Venue: British Columbia Institute of Technology
555 Seymour Street, Vancouver, B.C., V6B 3H6**

Topics Presented:

Recent trends in securities fraud – Round Table Discussion

Brigeeta Richdale and Jessica Lewis - Cassels Brock Lawyers, Vancouver
Jennie Chan – PwC Partner, Consulting & Deals, Toronto

This presentation will examine recent trends in securities fraud including the hot topics of cryptocurrency and blockchain from the perspective of regulation and enforcement. We will discuss case developments in civil litigation and the evolving remedies including asset tracing and recovery. We will discuss the important role that defence counsel play in enforcement actions and the ethical issues that arise throughout the life of securities fraud files.

The emerging field of international asset recovery during fraud investigations.

Robbie Fleming - Robert Fleming Lawyers, Vancouver

The field of International asset recovery has emerged over the past couple of years into a specialized practice area which involves acting simultaneously and strategically in multiple jurisdictions around the world to find and seize the proceeds of fraud. This is not about standing around waiting to obtain judgments to enforce, it is about using investigation and pre-judgment asset seizure tools to trace and lock down assets wherever they may be before they disappear.

Illicit economies related to fraud and cybercrime and proposed cyber deterrence strategies and victim remedies.

Patrick Neal - Program Coordinator | Crime and Intelligence Analysis at BCIT

Cybercrime continues to be a significant threat/harm to citizens, consumers, corporations, and government. Expressed as lost revenue, lost cost opportunity to pursue corporate growth, lost tax dollar to illicit economies - corporations and individuals are now acting as judge, jury, and executioner. This presentation will take you into the world of hackbacks, strikebacks, and revenge attacks. Then explore a cyber deterrence response mechanism being considered in US House of Representatives as Bill H.R. 4036 which proposes a legal remedy for victims of cyberattacks. More specifically - the presentation wants to raise awareness of the impact this will have on forensics, evidence, and unintended consequences.

Speaker Bios:

Brigeeta Richdale is a partner at Cassels Brock & Blackwell LLP in Vancouver. Brigeeta's practice focuses on securities and regulatory matters, fraud and enforcement proceedings, corporate/commercial disputes and representation of financial institutions and investment advisors. Brigeeta's practice has a strong focus on international asset tracing, conducting investigations with internal and external auditors, enforcement of foreign judgments and orders, Worldwide Mareva Injunctions, Anton Piller orders, and Norwich Pharmacal orders. She has significant experience with litigation resulting from Ponzi schemes, offshore banking and money laundering, fraudulent conveyances, investment fraud, employee fraud and theft, and investment disputes.

Brigeeta has extensive experience in matters involving investigations and enforcement proceedings before various Securities Commissions, the RCMP's Commercial Crime division, the Investment Industry Regulatory Organization of Canada (IIROC) and the Mutual Fund Dealer's Association (MFDA), and well as national and international agencies including Canada Revenue Agency (CRA), Internal Revenue Service (IRS), U.S. Securities and Exchange Commission, Federal Bureau of Investigation (FBI), and Interpol. Brigeeta represents clients at the investigative stage and throughout an enforcement action on matters involving allegations of fraud, insider trading, illegal distributions, market manipulation, discretionary trading, and suitability matters.

Jessica Lewis is an associate at Cassels Brock. She has a broad corporate and commercial litigation practice, with an emphasis on securities and regulatory matters, fraud and enforcement proceedings, corporate/commercial disputes and representation of financial institutions and investment advisors. She has experience with international asset tracing, enforcement of foreign judgments and orders, letters rogatory and worldwide mareva injunctions. Jessica also represents clients in litigation proceedings involving fraud and breach of fiduciary duties.

Jennie Chan is a Partner in PricewaterhouseCoopers LLP's Forensic Services Group. For over twenty years, Jennie has provided investigative and forensic accounting services relating to complex internal and financial matters on behalf of public and private companies, governments, public sector entities and regulatory agencies. Jennie's investigations have included a range of issues such as employee fraud, procurement fraud, asset tracing, secret commissions and allegations of corruption. Jennie also conducts computer forensics and data breach investigations and manages electronic discovery matters including the preservation, collection, processing and production of data in support of investigations and litigation.

Jennie has lectured on forensic accounting, fraud and investigations. She is a Chartered Professional Accountant, Chartered Accountant holding a specialist designation in Investigative and Forensic Accounting. Jennie is a member of the Chartered Professional Accountants of Ontario and of British Columbia.

Robbie Fleming began his career in 1991, as a prosecutor in northern British Columbia, before starting his own practice in 1994. He left practice in 2004 to do an MBA at Cambridge, spending four years on a wide range of projects, including outsourcing legal services to India, business development for a biotech start-up, GC for a Dublin internet start-up, and a proposal to seed a new Irish early stage venture capital fund

Robbie returned to Vancouver in 2008 to found Robert Fleming Lawyers. He is a relentless innovator who relishes the challenge of difficult cases, and combines creativity with strong legal and economic analysis. He recently appeared in the Supreme Court of Canada on [Google v. Equustek](#), the leading case on the court's ability to control global content on the internet, and on the first ever *ex parte* appeal in the BC Court of Appeal – on a worldwide *Mareva* injunction with parallel asset freezing proceedings in France.

In addition to doing good work, Robbie has always sought to do some good in the world. He has volunteered on a palliative care ward, done many pro bono cases, and been an active advocate for environmental causes.

Robbie is the father of two small boys. He lives with his family in Vancouver.

Patrick Neal has been involved in the justice and public safety field since 1982. He has been a prison librarian, security supervisor, investigator, and policy researcher. Throughout his career, he has used data and information to; develop better responses to justice and public safety incidents, inform policy development, develop insights into how people search for and use data and information.

He completed his Master of Arts (Royal Roads University) degree during which he studied the information seeking behavior of police officers. In particular how police officers made sense of information /data external to their areas of expertise. Starting in 2005, he began his second career working with provincial

and federal justice and public safety agencies, focused on information sharing, and developing evidence based policies and procedures.

In 2013, he began his Doctor of Social Sciences at Royal Roads University. Frustrated with the passive nature of cybersecurity (waiting for cyberattackers to steal your data), he is exploring cyber-deterrence as a public policy issue related to corporations, government, and citizens. Most recently he has presented at the Crime Reduction and Research Symposium (sponsored by CFSEU, RCMP, and PSSG), UBC's School of Information and Archival Sciences program (archivists and blockchains), and cybersecurity discussions.

His special area of interest is cyber deterrence, information weaponization, social contract theory, and intelligence /analysis within the cyber –domain. When not in the cyberspace he loves museums, old books, antiques, and fishing.